

**Quality ID #511: Percentage of Prevalent Patients Waitlisted for Kidney Transplant (PPPW) and Percentage of Prevalent Patients Waitlisted for Kidney Transplant in Active Status (aPPPW)**

**2026 COLLECTION TYPE:**

MERIT-BASED INCENTIVE PAYMENT SYSTEM (MIPS) CLINICAL QUALITY MEASURE (CQM)

**MEASURE TYPE:**

Process

**DESCRIPTION:**

The measure tracks dialysis patients who are under the age of 75 in a practitioner group and on the kidney or kidney-pancreas transplant waitlist (all patients or patients in active status). This measure is a risk-adjusted percentage of waitlist events among dialysis patients.

**INSTRUCTIONS:**

**Reporting Frequency:**

This measure data is to be submitted a minimum of once per month for denominator eligible cases as defined in the denominator criteria.

**Intent and Clinician Applicability:**

This measure is intended to reflect the quality of services provided for patients on dialysis with a diagnosis for End-Stage Renal Disease. This measure may be submitted by Merit-based Incentive Payment System (MIPS) eligible clinician groups who perform the quality actions as defined by the numerator based on the services provided and the measure-specific denominator coding.

**Measure Strata and Performance Rates:**

This measure contains two submission criteria which assess for all patients on the waitlist and those who were in Active Status each month.

**There are 2 Submission Criteria for this measure:**

- 1) Patients in the practitioner group's denominator with observed months on the waitlist.
- AND
- 2) Patients observed in active status on any kidney or kidney-pancreas transplant waitlist.

**This measure will be calculated with 2 performance rates:**

- 1) Percentage of Prevalent Patients Waitlisted (PPPW).
- 2) Percentage of Prevalent Patients Waitlisted in Active Status (aPPPW)

For accountability reporting in the CMS MIPS program, the rate for Submission Criteria 2 is used for performance.

**Implementation Considerations:**

For the purposes of MIPS implementation, this patient-periodic measure is submitted a minimum of once per patient per timeframe specified by the measure for the performance period. The most advantageous quality data code (QDC) will be used if the measure is submitted more than once for the specified timeframe.

Technical notes describing the statistical methods used to calculate the measure, including model details, can be found on the following publicly available webpage: <https://dialysisdata.org/content/MIPS>. Please refer to the technical notes when calculating this measure.

**Telehealth:**

**NOT TELEHEALTH ELIGIBLE:** This measure is not appropriate for nor applicable to the telehealth setting. This

measure is procedure based and therefore doesn't allow for the denominator criteria to be conducted via telehealth. It would be appropriate to remove these patients from the denominator eligible patient population. Telehealth eligibility is at the measure level for inclusion within the denominator eligible patient population and based on the measure specification definitions which are independent of changes to coding and/or billing practices.

**Measure Submission:**

The quality data codes listed do not need to be submitted by MIPS eligible clinicians, groups, or third party intermediaries that utilize this collection type for submissions; however, these codes may be submitted for those third party intermediaries that utilize Medicare Part B claims data. The coding provided to identify the measure criteria: Denominator or Numerator, may be an example of coding that could be used to identify patients that meet the intent of this clinical topic. When implementing this measure, please refer to the 'Reference Coding' section to determine if other codes or code languages that meet the intent of the criteria may also be used within the medical record to identify and/or assess patients. For more information regarding Application Programming Interface (API), please refer to the Quality Payment Program (QPP) website.

**SUBMISSION CRITERIA 1: PATIENTS IN THE PRACTITIONER GROUP'S DENOMINATOR WITH OBSERVED MONTHS ON THE WAITLIST**

**DENOMINATOR (CRITERIA 1):**

All risk-adjusted patient-months for patients who are under the age of 75 in the reporting month and who are assigned to a dialysis practitioner or practitioner group practice according to each patient's treatment history on the last day of each reporting month during the performance year.

**Definitions:**

**End-Stage Renal Disease (ESRD) Monthly Capitated Payment (MCP)** – An ESRD MCP is a monthly payment made to physicians for dialysis-related physician services provided to Medicare ESRD patients.

**Risk-Adjusted Months on the Waitlist** – The risk-adjusted number of patient-months on the waitlist is calculated from a mixed-effects logistic regression model, adjusting for age, patient comorbidities, other risk factors at incidence of dialysis, random effects for transplant centers and assuming the practitioner group-specific event rate equals the population average.

**Reporting Month** – The last day of each calendar month.

**DENOMINATOR NOTE:**

*All patients who meet the denominator inclusion criteria are included and used to model a given dialysis practitioner group's risk-adjusted waitlist rate. The Nursing Home Minimum Dataset (MDS) and Questions 16u and 22 on CMS Medical Evidence Form 2728 may be used to identify patients in skilled nursing facilities.*

*For the purposes of this measure, the transplant program or Organ Procurement and Transplant Network (OPTN) can be utilized as the data source for the numerator as well as patients on the kidney/kidney-pancreas waitlist prior to the initiation of dialysis.*

*For more information on how to calculate the risk-adjusted months on the waitlist and observed months on the waitlist please see please see the technical notes found at <https://dialysisdata.org/content/MIPS>.*

*If a dialysis practitioner group has fewer than 11 patients during the performance year, the dialysis practitioner group is excluded from reporting outcomes.*

**Denominator Criteria (Eligible Cases):**

All patients aged <75 years old on the last day of the reporting month

**AND**

Receiving ESRD MCP dialysis services by the provider on the last day of the reporting month: M1269

**AND NOT**

**DENOMINATOR EXCLUSIONS:**

Patients who were admitted to a skilled nursing facility (SNF) during the month of evaluation were

excluded from that month: M1274

OR

Patients who were admitted to a skilled nursing facility (SNF) within one year of dialysis initiation according to the CMS-2728 form: M1273

OR

Patients determined to be in hospice were excluded from month of evaluation and the remainder of reporting period: M1275

OR

Patients with dementia at any time prior to or during the month: M1271

**NUMERATOR (CRITERIA 1):**

Percentage of Prevalent Patients Waitlisted (PPPW): Patients in the practitioner group's denominator with observed months on the waitlist for each month.

**Definition:**

**Observed Months on the Waitlist** – The number of patient-months on the waitlist in a practitioner group.

**NUMERATOR NOTE:**

*For the purposes of this measure, the transplant program or Organ Procurement and Transplant Network (OPTN) can be utilized as the data source for the numerator.*

**Numerator Options:**

***Performance Met:***

Patients observed on any kidney or kidney-pancreas transplant waitlist as of the last day of each month during the measurement period (M1272)

OR

***Performance Not Met:***

Patients not on any kidney or kidney-pancreas transplant waitlist as of the last day of each month during the measurement period (M1270)

**AND**

**SUBMISSION CRITERIA 2: PATIENTS OBSERVED IN ACTIVE STATUS ON ANY KIDNEY OR KIDNEY-PANCREAS TRANSPLANT WAITLIST**

**DENOMINATOR (CRITERIA 2):**

All risk-adjusted patient-months for patients who are under the age of 75 in the reporting month and who are assigned to a dialysis practitioner or practitioner group practice according to each patient's treatment history on the last day of each reporting month during the performance year.

**Definitions:**

**End-Stage Renal Disease (ESRD) Monthly Capitated Payment (MCP)** – An ESRD MCP is a monthly payment made to physicians for dialysis-related physician services provided to Medicare ESRD patients.

**Risk-Adjusted Months on the Waitlist in Active Status** – The risk-adjusted number of patient-months on the waitlist in active status is calculated from a mixed-effects logistic regression model, adjusting for age, patient comorbidities, other risk factors at incidence of dialysis, random effects for transplant centers and assuming the practitioner group-specific event rate equals the population average.

**Reporting Month** – The last day of each calendar month.

**DENOMINATOR NOTE:**

*All patients who meet the denominator inclusion criteria are included and used to model a given dialysis practitioner group's risk-adjusted waitlist rate. The Nursing Home Minimum Dataset (MDS) and Questions 16u*

and 22 on CMS Medical Evidence Form 2728 may be used to identify patients in skilled nursing facilities.

For the purposes of this measure, the transplant program or Organ Procurement and Transplant Network (OPTN) can be utilized as the data source for the numerator as well as patients on the kidney/kidney-pancreas waitlist prior to the initiation of dialysis.

For more information on how to calculate the risk-adjusted months on the waitlist in active status and observed months on the waitlist in active status please see please see the technical notes found at <https://dialysisdata.org/content/MIPS>.

If a dialysis practitioner group has fewer than 11 patients during the performance year, the dialysis practitioner group is excluded from reporting outcomes.

**Denominator Criteria (Eligible Cases):**

All patients aged <75 years old on the last day of the reporting month

**AND**

Receiving ESRD MCP dialysis services by the provider on the last day of the reporting month: M1269

**AND NOT**

**DENOMINATOR EXCLUSIONS:**

Patients who were admitted to a skilled nursing facility (SNF) during the month of evaluation were excluded from that month: M1274

**OR**

Patients who were admitted to a skilled nursing facility (SNF) within one year of dialysis initiation according to the CMS-2728 form: M1273

**OR**

Patients determined to be in hospice were excluded from month of evaluation and the remainder of reporting period: M1275

**OR**

Patients with dementia at any time prior to or during the month: M1271

**NUMERATOR (CRITERIA 2):**

Percentage of Prevalent Patients Waitlisted in Active Status (aPPPW): Patients in the practitioner group's denominator with observed months on the waitlist in active status for each month.

**Definition:**

**Observed Months on the Waitlist in Active Status** – Observed number of patient-months on the wait list in active status in a practitioner group.

**NUMERATOR NOTE:**

For the purposes of this measure, the transplant program or Organ Procurement and Transplant Network (OPTN) can be utilized as the data source for the numerator.

For more information on how to calculate the risk-adjusted months on the waitlist in active status and observed months on the waitlist in active status please see please see the technical notes found at <https://dialysisdata.org/content/MIPS>.

**Numerator Options:**

**Performance Met:**

Patients observed in active status on any kidney or kidney-pancreas transplant waitlist as of the last day of each month during the measurement period (M1268)

**OR**

**Performance Not Met:**

Patients not observed in active status on any kidney or kidney-pancreas transplant waitlist as of the last day of each month during the measurement period (**M1267**)

**RATIONALE:**

A measure focusing on waitlisting is appropriate for several reasons. First, in preparing patients for suitability for waitlisting, dialysis practitioners optimize their health and functional status, improving their overall health state. Second, waitlisting is a necessary step prior to potential receipt of a kidney transplant, which is known to be beneficial for survival and quality of life [1]. Third, dialysis practitioners exert substantial control over the processes that result in waitlisting. This includes proper education of dialysis patients on the option for transplant, referral of appropriate patients to a transplant center for evaluation, and assisting patients with completion of the transplant evaluation process, in order to increase their candidacy for transplant waitlisting. These types of activities are included as part of the conditions for coverage for Medicare certification of ESRD dialysis facilities. Finally, wide regional and facility variations in waitlisting rates highlight substantial room for improvement for this measure [2-5].

The PPPW and aPPPW measures focus specifically on the prevalent dialysis population, examining waitlisting status or waitlisting in active status, monthly for each patient, respectively. As this measure assesses monthly waitlisting status or waitlisting in active status of patients, it evaluates and encourages maintenance of patients on the waitlist which is important given the long duration most patients have to wait to eventually access a deceased donor transplant (national median of roughly 4 years) [6]. In particular, maintenance of active status requires ongoing attention by dialysis practitioners to optimizing the health of patients, to ensure sustained suitability for transplant waitlisting. Maintenance of active status on the waitlist is additionally important given demonstrated disparities [7] and positive association with subsequent transplantation [8]. Overall, maintenance of patients on the waitlist is an important area to which dialysis practitioners can contribute through ensuring patients remain healthy, and complete any ongoing testing activities required to remain on the waitlist. In contrast to this measure, other waitlisting measures, such as the First Year Standardized Waitlist Ratio, focus solely on new waitlistings and living donor kidney transplants to incentivize early action, rather than ongoing maintenance on the waitlist, as this measure does.

**CLINICAL RECOMMENDATION STATEMENTS:**

Empirical support for the value of waitlisting to patients comes from a published study reporting on a large survey of 409 patients or family members who agreed to receiving emails from the National Kidney Foundation [9]. Participants include both patients with advanced chronic kidney disease prior to transplant, and recipients of transplants, and were asked about their priorities in choice of a transplant center. Notably, participants were most likely (a plurality of participants) to rank waitlisting characteristics (such as ease of getting on the waitlist) as the most important feature, in contrast to other transplant center characteristics such as post-transplant outcomes and practical considerations (e.g., distance to center).

National or large regional studies provide strong empirical support for the association between processes under dialysis practitioner control and subsequent waitlisting. In one large regional study conducted on facilities in the state of Georgia, a standardized dialysis facility referral ratio was developed, adjusted for age, demographics and comorbidities [10]. There was substantial variability across dialysis facilities in referral rates, and a Spearman correlation performed between ranking on the referral ratio and dialysis facility waitlist rates was highly significant ( $r=0.35$ ,  $p<0.001$ ). A national study using registry data (United States Renal Data System) from 2005-2007 examined the association between whether patients were informed about kidney transplantation (based on reporting on the Medical Evidence Form 2728) and subsequent access to kidney transplantation (waitlisting or receipt of a live donor transplant) [11]. Approximately 30% of patients were uninformed about kidney transplantation, and this was associated with half the rate of access to transplantation compared to patients who were informed. In a related survey study of 388 hemodialysis patients, whether provision of information about transplantation by nephrologists or dialysis staff occurred was directly confirmed with patients [12]. Patient report of provision of such information was associated with a three-fold increase in likelihood of waitlisting. Finally, a large survey study of 170 dialysis facilities in the Heartland Kidney Network (Iowa, Kansas, Missouri and Nebraska) was conducted to examine transplant education practices [13]. Facilities employing multiple ( $>3$ ) transplant education strategies (e.g., provision of brochures, referral to formal transplant education program, distribution of transplant center contact information) had 36% higher waitlist rates compared to facilities employing fewer strategies.

## **REFERENCES:**

1. Tonelli M, Wiebe N, Knoll G, et al. Systematic review: kidney transplantation compared with dialysis in clinically relevant outcomes. *American Journal of Transplantation* 2011;11:2093-2109.
2. Ashby VB, Kalbfleisch JD, Wolfe RA, et al. Geographic variability in access to primary kidney transplantation in the United States, 1996-2005. *American Journal of Transplantation* 2007; 7 (5 Part 2):1412-1423.
3. Satayathum S, Pisoni RL, McCullough KP, et al. Kidney transplantation and wait-listing rates from the international Dialysis Outcomes and Practice Patterns Study (DOPPS). *Kidney Intl* 2005 Jul; 68 (1):330-337.
4. Patzer RE, Plantinga L, Krisher J, Pastan SO. Dialysis facility and network factors associated with low kidney transplantation rates among United States dialysis facilities. *Am J Transplant*. 2014 Jul; 14(7):1562-72.
5. Melanson TA, Gander JC, Rossi A, et al. Variation in Waitlisting Rates at the Dialysis Facility Level in the Context of Goals for Improving Kidney Health in the United States. *Kidney International Reports* 2021;6:1965-1968. No abstract.
6. United States Renal Data System. 2020 USRDS Annual Data Report: Epidemiology of kidney disease in the United States. National Institutes of Health, National Institute of Diabetes and Digestive and Kidney Diseases, Bethesda, MD, 2020.
7. Kulkarni S, Ladin K, Haakinson D, et al. Association of Racial Disparities With Access to Kidney Transplant After the Implementation of the New Kidney Allocation System. *JAMA Surg* 2019; 154(7):618-625.
8. Grams, M. E., Massie, A. B., Schold, J. D., Chen, B. P., & Segev, D. L. (2013). Trends in the inactive kidney transplant waitlist and implications for candidate survival. *American Journal of Transplantation*, 13(4), 1012-1018.
9. Husain SA, Brennan C, Michelson A, Tsapepas D, Patzer RE, Schold JD, Mohan S. Patients prioritize waitlist over posttransplant outcomes when evaluating kidney transplant centers. *Am J Transplant*. 2018 Nov;18(11):2781-2790.
10. Paul S, Plantinga LC, Pastan SO, Gander JC, Mohan S, Patzer RE. Standardized Transplantation Referral Ratio to Assess Performance of Transplant Referral among Dialysis Facilities. *Clin J Am Soc Nephrol*. 2018 Feb 7;13(2):282-289.
11. Kucirka LM, Grams ME, Balhara KS, Jaar BG, Segev DL. Disparities in provision of transplant information affect access to kidney transplantation. *Am J Transplant*. 2012 Feb;12(2):351-7.
12. Salter ML, Orandi B, McAdams-DeMarco MA, Law A, Meoni LA, Jaar BG, Sozio SM, Kao WH, Parekh RS, Segev DL. Patient- and provider-reported information about transplantation and subsequent waitlisting. *J Am Soc Nephrol*. 2014 Dec;25(12):2871-7.
13. Waterman AD, Peipert JD, Goalby CJ, Dinkel KM, Xiao H, Lentine KL. Assessing Transplant Education Practices in Dialysis Centers: Comparing Educator Reported and Medicare Data. *Clin J Am Soc Nephrol*. 2015 Sep 4;10(9):1617-25.

## **COPYRIGHT:**

This measure was developed by the University of Michigan Kidney Epidemiology and Cost Center under a contract (Contract Number 75FCMC18D0041, Task Order No. 75FCMC18F0001) with the Centers for Medicare & Medicaid Services. All Rights Reserved.

The Measure is not clinical guidelines, does not establish a standard of medical care, and has not been tested for all potential applications.

## **THE MEASURE AND SPECIFICATIONS ARE PROVIDED "AS IS" WITHOUT WARRANTY OF ANY KIND.**

©

Limited proprietary coding is contained in the Measure specifications for user convenience. Users of proprietary code sets should obtain all necessary licenses from the owners of the code sets. The University of Michigan disclaims all liability for use or accuracy of any third-party codes contained in the specifications.

CPT® contained in the Measure specifications is copyright 2004-2025 American Medical Association. LOINC® copyright 2004-2025 Regenstrief Institute, Inc. This material contains SNOMED Clinical Terms® (SNOMED CT®) copyright 2004-2025 International Health Terminology Standards Development Organisation. ICD-10 copyright 2025 World Health Organization. All Rights Reserved.

**2026 Clinical Quality Measure Flow for Quality ID #511:**  
**Percentage of Prevalent Patients Waitlisted (PPPW) and Percentage of Prevalent Patients**  
**Waitlisted in Active Status (aPPPW)**  
**Multiple Performance Rates**

**Disclaimer:** Refer to the measure specification for specific coding and instructions to submit this measure.

**ACCOUNTABILITY REPORTING IN THE CMS MIPS PROGRAM: SAMPLE CALCULATIONS**

**Overall Data Completeness (Submission Criteria 2\*) =**  

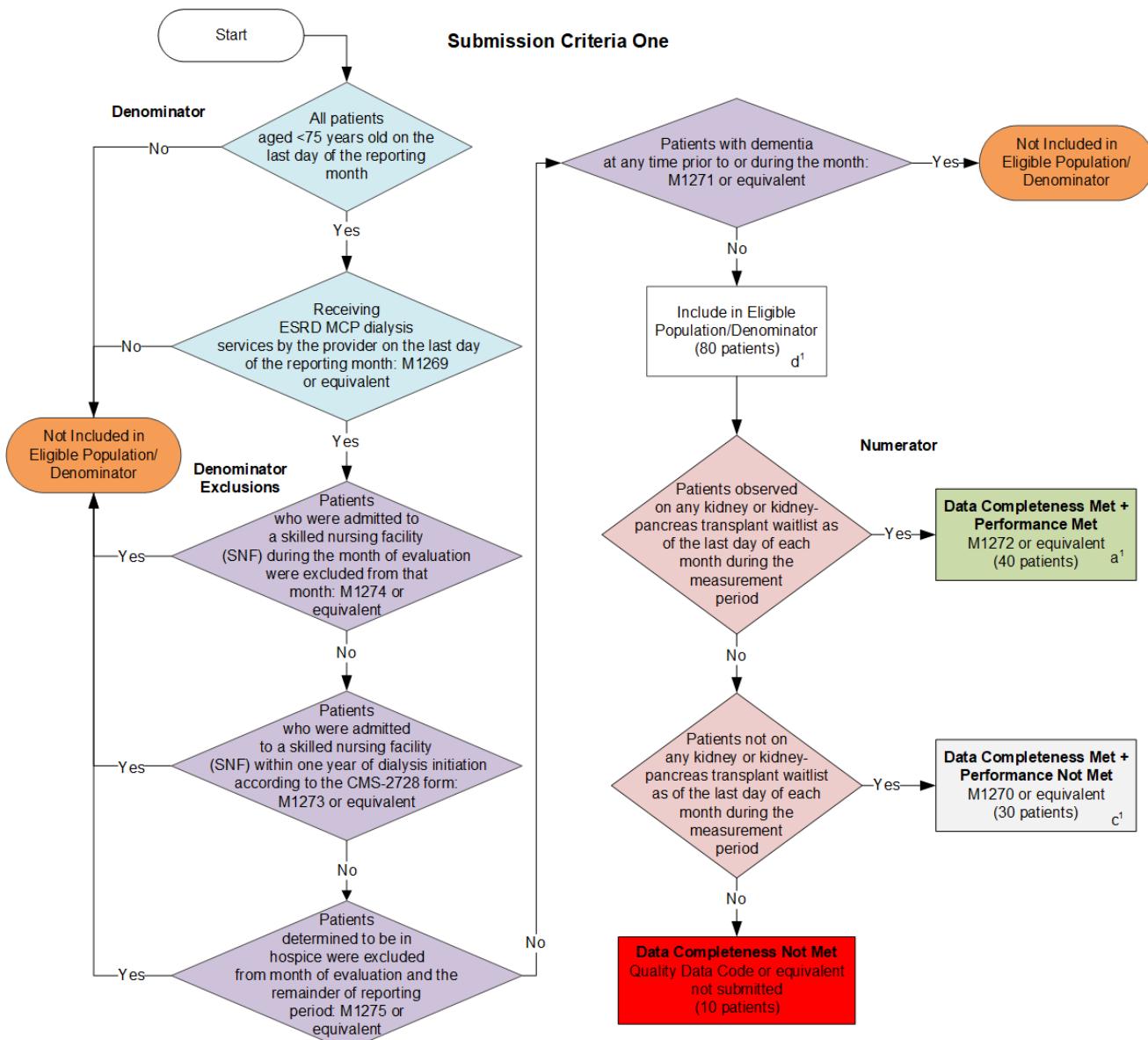
$$\frac{\text{Performance Met } (a^2=40 \text{ patients}) + \text{Performance Not Met } (c^2=30 \text{ patients})}{\text{Eligible Population / Denominator } (d^2=80 \text{ patients})} = \frac{70 \text{ patients}}{80 \text{ patients}} = 87.50\%$$

**Overall Performance Rate (Submission Criteria 2\*) =**  

$$\frac{\text{Performance Met } (a^2=40 \text{ patients})}{\text{Data Completeness Numerator } (70 \text{ patients})} = \frac{40 \text{ patients}}{70 \text{ patients}} = 57.14\%$$

\*See the posted measure specification for specific coding and instructions to submit this measure. For a more technical workflow which includes applied model adjustments please refer to the technical notes found at <https://dialysisdata.org/content/MIPS>.

NOTE: Submission Frequency: Patient-Periodic



#### **SAMPLE CALCULATIONS: SUBMISSION CRITERIA ONE**

**Data Completeness-**

Performance Met (a<sup>1</sup>=40 patients) + Performance Not Met (c<sup>1</sup>=30 patients) = 70 patients = **87.50%**  
Eligible Population / Denominator (d<sup>1</sup>=80 patients) = 80 patients

**Performance Rate-**

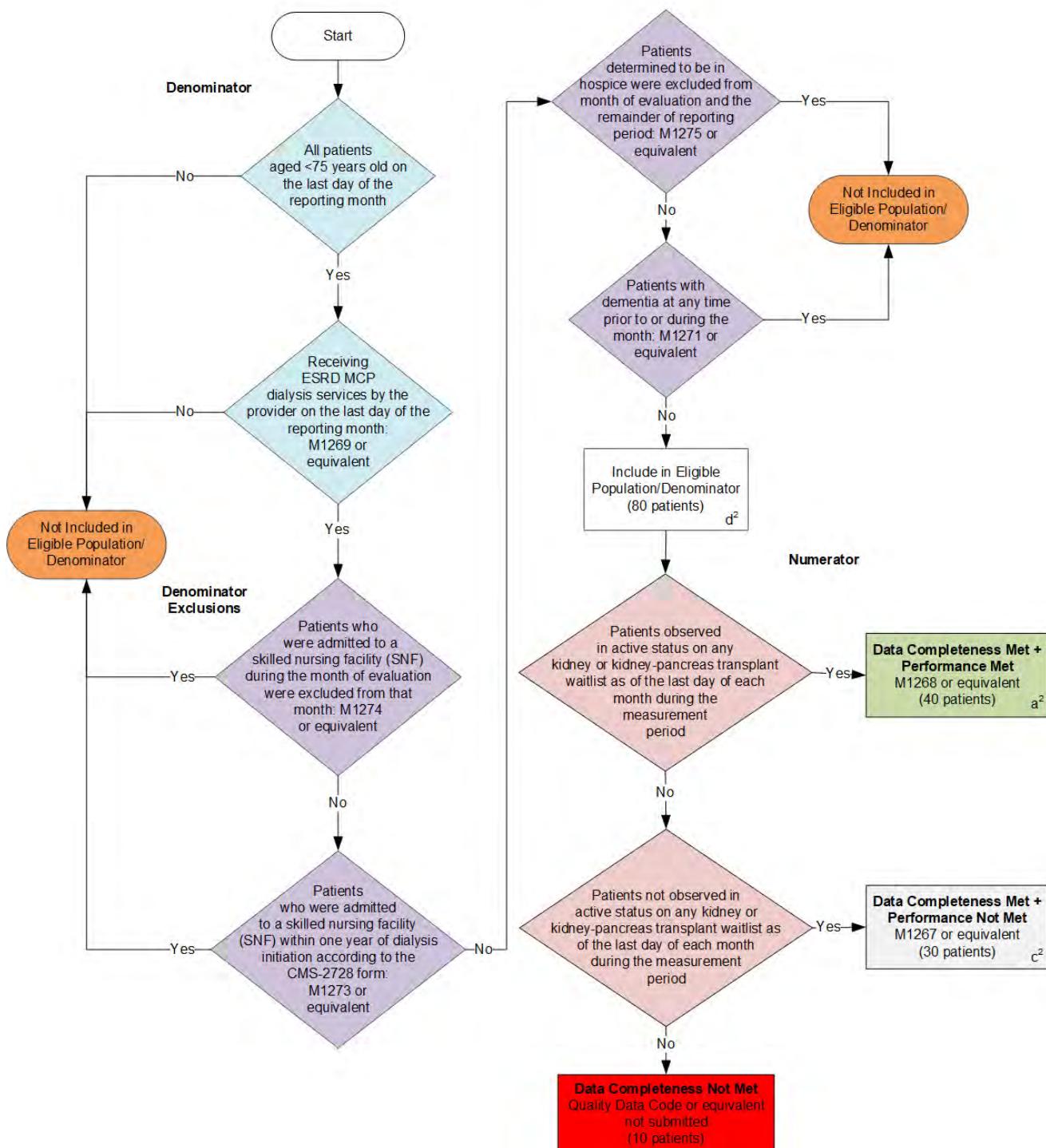
Performance Met (a<sup>1</sup>=40 patients) = 40 patients = **57.14%**  
Data Completeness Numerator (70 patients) = 70 patients

\*See the posted measure specification for specific coding and instructions to submit this measure. For a more technical workflow which includes applied model adjustments please refer to the technical notes found at <https://dialysisdata.org/content/MIPS>.  
NOTE: Submission Frequency: Patient-Periodic

CPT only copyright 2025 American Medical Association. All rights reserved.  
The measure diagrams were developed by CMS as a supplemental resource to be used in conjunction with the measure specifications. They should not be used alone or as a substitution for the measure specification

v10

## Submission Criteria Two



#### SAMPLE CALCULATIONS: SUBMISSION CRITERIA TWO

**Data Completeness=**

$$\frac{\text{Performance Met (a}^2\text{=}40 \text{ patients)} + \text{Performance Not Met (c}^2\text{=}30 \text{ patients)}}{\text{Eligible Population / Denominator (d}^2\text{=}80 \text{ patients)}} = \frac{70 \text{ patients}}{80 \text{ patients}} = 87.50\%$$

**Performance Rate=**

$$\frac{\text{Performance Met (a}^2\text{=}40 \text{ patients)}}{\text{Data Completeness Numerator (70 patients)}} = \frac{40 \text{ patients}}{70 \text{ patients}} = 57.14\%$$

\*See the posted measure specification for specific coding and instructions to submit this measure. For a more technical workflow which includes applied model adjustments please refer to the technical notes found at <https://dialysisdata.org/content/MIPS>.

NOTE: Submission Frequency: Patient-Periodic

CPT only copyright 2025 American Medical Association. All rights reserved.  
The measure diagrams were developed by CMS as a supplemental resource to be used  
in conjunction with the measure specifications. They should not be used alone or as a  
substitution for the measure specification

v10

**2026 Clinical Quality Measure Flow Narrative for Quality ID #511:**  
**Percentage of Prevalent Patients Waitlisted (PPPW) and Percentage of Prevalent Patients Waitlisted in**  
**Active Status (aPPPW)**

***Disclaimer:** Refer to the measure specification for specific coding and instructions to submit this measure.*

**Multiple Performance Rates**

**Accountability Reporting In The CMS MIPS Program: Sample Calculations**

Overall Data Completeness Rate (Submission Criteria 2\*) equals Performance Met (a<sup>2</sup> equals 40 patients) plus Performance Not Met (c<sup>2</sup> equals 30 patients) divided by Eligible Population/Denominator (d<sup>2</sup> equals 80 patients). All equals 70 patients divided by 80 patients. All equals 87.50 percent.

Overall Performance Rate (Submission Criteria 2\*) equals Performance Met (a<sup>2</sup> equals 40 patients) divided by Data Completeness Numerator (70 patients). All equals 40 patients divided by 70 patients. All equals 57.14 percent.

\*See the posted measure specification for specific coding and instructions to submit this measure. For a more technical workflow which includes applied model adjustments please refer to the technical notes found at <https://dialysisdata.org/content/MIPS>.

NOTE: Submission Frequency: Patient-Periodic

**Submission Criteria One:**

1. Start with Denominator
2. Check *All patients aged less than 75 years on the last day of the reporting month:*
  - a. If *All patients aged less than 75 years on the last day of the reporting month* equals No, do not include in *Eligible Population/Denominator*. Stop processing.
  - b. If *All patients aged less than 75 years on the last day of the reporting month* equals Yes, proceed to check *Receiving ESRD MCP dialysis services by the provider on the last day of the reporting month*.
3. Check *Receiving ESRD MCP dialysis services by the provider on the last day of the reporting month:*
  - a. If *Receiving ESRD MCP dialysis services by the provider on the last day of the reporting month* equals No, do not include in *Eligible Population/Denominator*. Stop processing.
  - b. If *Receiving ESRD MCP dialysis services by the provider on the last day of the reporting month* equals Yes, proceed to check *Patients who were admitted to a skilled nursing facility (SNF) during the month of evaluation were excluded from that month*.
4. Check *Patients who were admitted to a skilled nursing facility (SNF) during the month of evaluation were excluded from that month:*
  - a. If *Patients who were admitted to a skilled nursing facility (SNF) during the month of evaluation were excluded from that month* equals Yes, do not include in *Eligible Population/Denominator*. Stop processing.
  - b. If *Patients who were admitted to a skilled nursing facility (SNF) during the month of evaluation were excluded from that month* No, proceed to check *Patients who were admitted to a skilled nursing facility (SNF) within one year of dialysis initiation according to the CMS-2728 form*.
5. Check *Patients who were admitted to a skilled nursing facility (SNF) within one year of dialysis initiation according to the CMS-2728 form:*

- a. If *Patients who were admitted to a skilled nursing facility (SNF) within one year of dialysis initiation according to the CMS-2728 form* equals Yes, do not include in *Eligible Population/Denominator*. Stop processing.
  - b. If *Patients who were admitted to a skilled nursing facility (SNF) within one year of dialysis initiation according to the CMS-2728 form* equals No, proceed to check *Patients determined to be in hospice were excluded from month of evaluation and the remainder of reporting period*.
6. Check *Patients determined to be in hospice were excluded from month of evaluation and the remainder of reporting period*:
  - a. If *Patients determined to be in hospice were excluded from month of evaluation and the remainder of reporting period* equals Yes, do not include in *Eligible Population/Denominator*. Stop processing.
  - b. If *Patients determined to be in hospice were excluded from month of evaluation and the remainder of reporting period* equals No, proceed to check *Patients with dementia at any time prior to or during the month*.
7. Check *Patients with dementia at any time prior to or during the month*:
  - a. If *Patients with dementia at any time prior to or during the month* equals Yes, do not include in *Eligible Population/Denominator*. Stop processing.
  - b. If *Patients with dementia at any time prior to or during the month* equals No, include in *Eligible Population/Denominator*.
8. Denominator Population:
  - Denominator Population is all Eligible Patients in the Denominator. Denominator is represented as Denominator in the Sample Calculation listed at the end of this document. Letter d<sup>1</sup> equals 80 patients in the Sample Calculation.
9. Start Numerator
10. Check *Patients observed on any kidney or kidney-pancreas transplant waitlist as of the last day of each month during the measurement period*:
  - a. If *Patients observed on any kidney or kidney-pancreas transplant waitlist as of the last day of each month during the measurement period* equal Yes, include in *Data Completeness Met and Performance Met*.
    - *Data Completeness Met and Performance Met* letter is represented in the Data Completeness and Performance Rate in the Sample Calculation listed at the end of this document. Letter a<sup>1</sup> equals 40 patients in the Sample Calculation.
  - b. If *Patients observed on any kidney or kidney-pancreas transplant waitlist as of the last day of each month during the measurement period* equals No, proceed to check *Patients not on any kidney or kidney-pancreas transplant waitlist as of the last day of each month during the measurement period*.
11. Check *Patients not on any kidney or kidney-pancreas transplant waitlist as of the last day of each month during the measurement period*:
  - a. If *Patients not on any kidney or kidney-pancreas transplant waitlist as of the last day of each month during the measurement period* equals Yes, include in *Data Completeness Met and Performance Not Met*.
    - *Data Completeness Met and Performance Not Met* letter is represented in the Data Completeness in the Sample Calculation listed at the end of this document. Letter c<sup>1</sup> equals 30 patients in the Sample Calculation.

- b. If *Patients not on any kidney or kidney-pancreas transplant waitlist as of the last day of each month during the measurement period* equals No, proceed to check *Data Completeness Not Met*.

12. Check *Data Completeness Not Met*:

- If *Data Completeness Not Met*, the Quality Data Code or equivalent was not submitted. 10 patients have been subtracted from the Data Completeness Numerator in the Sample Calculation.

### Sample Calculations: Submission Criteria One

Data Completeness equals Performance Met (a<sup>1</sup> equals 40 patients) plus Performance Not Met (c<sup>1</sup> equals 30 patients) divided by Eligible Population/Denominator (d<sup>1</sup> equals 80 patients). All equals 70 patients divided by 80 patients. All equals 87.50 percent.

Performance Rate equals Performance Met (a<sup>1</sup> equals 40 patients) divided by Data Completeness Numerator (70 patients). All equals 40 patients divided by 70 patients. All equals 57.14 percent.

\*See the posted measure specification for specific coding and instructions to submit this measure. For a more technical workflow which includes applied model adjustments please refer to the technical notes found at <https://dialysisdata.org/content/MIPS>.

NOTE: Submission Frequency: Patient-Periodic

The measure diagrams were developed by CMS as a supplemental resource to be used in conjunction with the measure specifications. They should not be used alone or as a substitution for the measure specification.

### Submission Criteria Two:

1. Start with Denominator
2. Check *All patients aged less than 75 years on the last day of the reporting month*:
  - a. If *All patients aged less than 75 years on the last day of the reporting month* equals No, do not include in *Eligible Population/Denominator*. Stop processing.
  - b. If *All patients aged less than 75 years on the last day of the reporting month* equals Yes, proceed to check *Receiving ESRD MCP dialysis services by the provider on the last day of the reporting month*.
3. Check *Receiving ESRD MCP dialysis services by the provider on the last day of the reporting month*:
  - a. If *Receiving ESRD MCP dialysis services by the provider on the last day of the reporting month* equals No, do not include in *Eligible Population/Denominator*. Stop processing.
  - b. If *Receiving ESRD MCP dialysis services by the provider on the last day of the reporting month* equals Yes, proceed to check *Patients who were admitted to a skilled nursing facility (SNF) during the month of evaluation were excluded from that month*.
4. Check *Patients who were admitted to a skilled nursing facility (SNF) during the month of evaluation were excluded from that month*:
  - a. If *Patients who were admitted to a skilled nursing facility (SNF) during the month of evaluation were excluded from that month* equals Yes, do not include in *Eligible Population/Denominator*. Stop processing.

b. If *Patients who were admitted to a skilled nursing facility (SNF) during the month of evaluation were excluded from that month* No, proceed to check *Patients who were admitted to a skilled nursing facility (SNF) within one year of dialysis initiation according to the CMS-2728 form*.

5. Check *Patients who were admitted to a skilled nursing facility (SNF) within one year of dialysis initiation according to the CMS-2728 form*:

- If *Patients who were admitted to a skilled nursing facility (SNF) within one year of dialysis initiation according to the CMS-2728 form* equals Yes, do not include in *Eligible Population/Denominator*. Stop processing.
- If *Patients who were admitted to a skilled nursing facility (SNF) within one year of dialysis initiation according to the CMS-2728 form* equals No, proceed to check *Patients determined to be in hospice were excluded from month of evaluation and the remainder of reporting period*.

6. Check *Patients determined to be in hospice were excluded from month of evaluation and the remainder of reporting period*:

- If *Patients determined to be in hospice were excluded from month of evaluation and the remainder of reporting period* equals Yes, do not include in *Eligible Population/Denominator*. Stop processing.
- If *Patients determined to be in hospice were excluded from month of evaluation and the remainder of reporting period* equals No, proceed to check *Patients with dementia at any time prior to or during the month*.

7. Check *Patients with dementia at any time prior to or during the month*:

- If *Patients with dementia at any time prior to or during the month* equals Yes, do not include in *Eligible Population/Denominator*. Stop processing.
- If *Patients with dementia at any time prior to or during the month* equals No, include in *Eligible Population/Denominator*.

8. Denominator Population:

- Denominator Population is all Eligible Patients in the Denominator. Denominator is represented as Denominator in the Sample Calculation listed at the end of this document. Letter  $d^2$  equals 80 patients in the Sample Calculation.

9. Start Numerator

10. Check *Patients observed in active status on any kidney or kidney-pancreas transplant waitlist as of the last day of each month during the measurement period*:

- If *Patients observed in active status on any kidney or kidney-pancreas transplant waitlist as of the last day of each month during the measurement period* equal Yes, include in *Data Completeness Met and Performance Met*.
  - Data Completeness Met and Performance Met* letter is represented as Data Completeness and Performance Rate in the Sample Calculation listed at the end of this document. Letter  $a^2$  equals 40 patients in the Sample Calculation.
- If *Patients observed in active status on any kidney or kidney-pancreas transplant waitlist as of the last day of each month during the measurement period* equals No, proceed to check *Patients not observed in active status on any kidney or kidney-pancreas transplant waitlist as of the last day of each month during the measurement period*.

11. Check *Patients not observed in active status on any kidney or kidney-pancreas transplant waitlist as of the last day of each month during the measurement period*:
  - a. If *Patients not observed in active status on any kidney or kidney-pancreas transplant waitlist as of the last day of each month during the measurement period* equals Yes, include in *Data Completeness Met and Performance Not Met*.
    - *Data Completeness Met and Performance Not Met* letter is represented as Data Completeness in the Sample Calculation listed at the end of this document. Letter c<sup>2</sup> equals 30 patients in the Sample Calculation.
  - b. If *Patients not observed in active status on any kidney or kidney-pancreas transplant waitlist as of the last day of each month during the measurement period* equals No, proceed to check *Data Completeness Not Met*.
12. Check *Data Completeness Not Met*.
  - If *Data Completeness Not Met*, the Quality Data Code or equivalent was not submitted. 10 patients have been subtracted from the Data Completeness Numerator in the Sample Calculation.

#### Sample Calculations: Submission Criteria Two

Data Completeness equals Performance Met (a<sup>2</sup> equals 40 patients) plus Performance Not Met (c<sup>2</sup> equals 30 patients) divided by Eligible Population/Denominator (d<sup>2</sup> equals 80 patients). All equals 70 patients divided by 80 patients. All equals 87.50 percent.

Performance Rate equals Performance Met (a<sup>2</sup> equals 40 patients) divided by Data Completeness Numerator (70 patients). All equals 40 patients divided by 70 patients. All equals 57.14 percent.

\*See the posted measure specification for specific coding and instructions to submit this measure. For a more technical workflow which includes applied model adjustments please refer to the technical notes found at <https://dialysisdata.org/content/MIPS>.

NOTE: Submission Frequency: Patient-Periodic

The measure diagrams were developed by CMS as a supplemental resource to be used in conjunction with the measure specifications. They should not be used alone or as a substitution for the measure specification.